

25 SEP 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

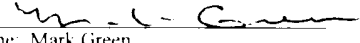
Applicant: KINGSMAN et al. Examiner: Unknown
Serial: 09 117,071 Group Art Unit: Unknown
Filed: Intl Filing Date January 23, 1997 Docket: 9192.5USWO
Notice of Allow. Date: NA Batch No.: NA
Due Date: October 21, 1998
Title: RETROVIRAL VECTOR AND ITS USE IN GENE THERAPY

CERTIFICATE UNDER 37 CFR 1.10

Express Mail[®] mailing label number: E1032608999US

Date of Deposit: September 25, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By: 
Name: Mark Green

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir,

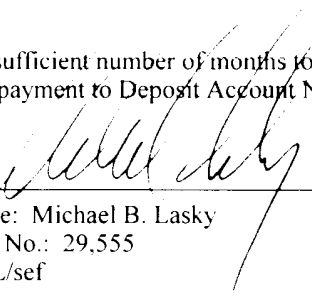
We are transmitting herewith the attached:

- ☐ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☐ Small entity status has been previously submitted
- ☐ Signed Combined Declaration and Power of Attorney
- ☐ Check(s) in the amount of \$65.00, for Missing Parts Surcharge
- ☐ Other: Copy of Notice of Missing Requirements
- ☐ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT, GOULD, SMITH, EDELL,
WELTER & SCHMIDT

Adjustment date: 11/06/1998 MCLAYBRO
10/01/1998 PVOLPE 00000091 09117071
01 FC:254 -65.00 DP

By: 
Name: Michael B. Lasky
Reg. No.: 29,555
MBL/sef

11/06/1998 MCLAYBRO 00000026 132725 09117071
01 FC:154 65.00 CH 65.00 DP

(PTO TRANSMITTAL - GENERAL)



Patent and Trademark Office
Address: ASSISTANT COMM. DIRECTOR FOR PATENTS
Box PCT
Washington, D.C. 20231

PCT/PTO 25 SEP 1998

U.S. APPLICATION NO	FIRST NAMED APPLICANT	ATTY DOCKET NO
09/117071	KINGSMAN	A 9192.5USWO

MICHAEL B LASKY
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INTERNATIONAL APPLICATION NO	
PCT/GB97/00210	
I.A. FILING DATE	PRIORITY DATE
23 JAN 97	23 JAN 96
DATE MAILED 21 SEP 1998	

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):
☒ U.S. Basic National Fee.
☐ Copy of the international application in
☐ a non-English language.
☒ English.

- ☒ Translation of the international application into English.
☐ Oath or Declaration of inventors(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☒ Translation of Annexes to the International Preliminary Examination Report into English.
☒ Preliminary amendment(s) filed 21 JUL 1998 and
☒ Information Disclosure Statement(s) filed 21 JUL 1998 and
☐ Assignment document.
☐ Power of Attorney and/or Change of Address.
☐ Substitute specification filed
☐ Verified Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report and copies of the references cited therein.
☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.492(f)).

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.33)

A copy of this notice *MUST* be returned with this response.

- Enclosed:
☐ PCT/DO/EO/917
☐ PTO-875
☐ Notice of Defective Translation

FORM PCT/DO/EO 905 (December 1997)

Paulette Kidwell, Paralegal
Telephone: 703-305-3656

RECEIVED
SEP 24 1998
MERCHANT & GOULD
MINNEAPOLIS, MN 55402